

# The Riemann-Roch Theorem

Devang Agarwal

**Abstract:** In this article, we present a proof of the Riemann-Roch theorem on smooth irreducible algebraic curves given in the article [Kem77].

## 1 Introduction

Fix a smooth irreducible algebraic curve  $C$  over an algebraically closed field  $k$ . For an invertible sheaf  $\mathcal{L}$  on  $C$ , let  $\mathcal{K}(\mathcal{L})$  denote the constant sheaf of rational sections of  $\mathcal{L}$ , and  $\mathcal{P}(\mathcal{L})$  denote the quotient sheaf  $\mathcal{K}(\mathcal{L})/\mathcal{L}$ . We'd like to show that the sheaf  $\mathcal{P}(\mathcal{L})$  is isomorphic to the direct sum of its stalks. Since the question is local, by restricting ourselves to an affine open set  $U \subseteq C$  where  $\mathcal{L}$  is trivial, we can see this by the lemma:

**Lemma 1.1.** *Let  $A$  be a Dedekind domain and  $K$  be its field of fractions. Then the natural map,*

$$K/A \rightarrow \bigoplus_m K/A_m$$

*is an isomorphism.*

*Proof.* Note that the injectivity of the map is clear from the fact that  $\bigcap_m A_m = A$  for a domain  $A$ . To show surjectivity, fix a maximal ideal  $m_0$  of  $A$ . Pick  $t_1 \in A$  such that  $v_{m_0}(t_1) = 1$ , where  $v_m$  denotes the valuation associated to the maximal ideal  $m$ . Consider the finite set  $P = \{m \mid v_m(t_1^{-1}) < 0\} - \{m_0\}$ . Let  $I = \prod_{m \in P} m^{v_m(t_1)}$  and pick  $a \in I - m_0 I$ . Now note that for  $t = a^{-1}t_1$ ,  $v_m(t) \leq 0$  for all  $m \neq m_0$ , and  $v_{m_0} = 1$ . Take a set of representatives  $S$  of  $A/m_0$  in  $A$ . Then any  $c \in K$  has a power series expansion of the form  $c = \sum_{n < 0} a_n t^{-n} + d$ , where the sum is finite,  $a_n \in S$  and  $d \in A_{m_0}$ . Set  $c_0 = \sum_{n < 0} a_n t^{-n}$ . Then  $c_0$  has image  $c$  in  $K/A_{m_0}$  and 0 in other components, since for  $m \neq m_0$ ,  $v_m(c_0) \geq 0$ . Hence, the map is surjective. ■

From this we see that  $\mathcal{P}(\mathcal{L})$  is a flasque sheaf, and hence has trivial cohomology. Now pick an effective divisor  $D$  on  $C$ . We have inclusions  $\mathcal{L} \hookrightarrow \mathcal{L}(D) \hookrightarrow \mathcal{K}(\mathcal{L})$ . Taking quotients by  $\mathcal{L}$ , we get the following diagram with exact rows:

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathcal{L} & \longrightarrow & \mathcal{K}(\mathcal{L}) & \longrightarrow & \mathcal{P}(\mathcal{L}) \longrightarrow 0 \\ & & \uparrow & & \uparrow & & \uparrow \\ 0 & \longrightarrow & \mathcal{L} & \longrightarrow & \mathcal{L}(D) & \longrightarrow & \mathcal{L}(D)|_D \longrightarrow 0 \end{array} \quad (\Phi_D)$$

Note that the sheaf  $\mathcal{L}(D)|_D$  is supported at the support of  $D$ , and hence also has trivial cohomology. Taking global sections, we get:

$$\begin{array}{ccccccccccc}
0 & \longrightarrow & \Gamma(C, \mathcal{L}) & \longrightarrow & \Gamma(C, \mathcal{K}(\mathcal{L})) & \longrightarrow & \Gamma(C, \mathcal{P}(\mathcal{L})) & \longrightarrow & H^1(C, \mathcal{L}) & \longrightarrow & 0 \\
& & \uparrow & & \uparrow & & \uparrow & & \uparrow & & \uparrow \\
(\phi_D) & 0 & \longrightarrow & \Gamma(C, \mathcal{L}) & \longrightarrow & \Gamma(C, \mathcal{L}(D)) & \longrightarrow & \Gamma(C, \mathcal{L}(D)|_D) & \xrightarrow{\delta_D} & H^1(C, \mathcal{L}) & \longrightarrow & H^1(C, \mathcal{L}(D)) & \longrightarrow & 0
\end{array}$$

where the vertical maps are all induced by the above inclusions. Some key observations:

1. For increasing divisors  $D$ , the sequence below limits to the sequence above.
2. In particular, every class in  $H^1(C, \mathcal{L})$  is in the image of  $\delta_D$  for some  $D$ .
3. If  $D = D_1 + D_2$ , where  $D_1$  and  $D_2$  are effective divisors with disjoint supports,  $\Gamma(C, \mathcal{L}(D)|_D) \cong \Gamma(C, \mathcal{L}(D_1)|_{D_1}) \oplus \Gamma(C, \mathcal{L}(D_2)|_{D_2})$ , and hence  $\delta_D$  can be identified with  $\delta_{D_1} \oplus \delta_{D_2}$ .

**Theorem 1.2.**  $H^1(C, \mathcal{L}) = 0$  iff for any effective divisor  $E$  and a point  $D$  of  $C$ ,  $\dim_k(\Gamma(C, \mathcal{L}(E + D))/\Gamma(C, \mathcal{L}(E))) = 1$ .

*Proof.* By observation 1, we see that  $H^1(C, \mathcal{L})$  vanishes iff  $\delta_D$  is zero for all  $D$ . Meanwhile, for a given  $D$ ,  $\delta_D = 0$  iff  $\dim_k(\Gamma(C, \mathcal{L}(D))/\Gamma(C, \mathcal{L})) = \dim_k \Gamma(C, \mathcal{L}(D)|_D) = \deg(D)$ . Therefore, we get  $H^1(C, \mathcal{L}) = 0$  iff for all effective divisors  $D$ ,  $\dim_k(\Gamma(C, \mathcal{L}(D))/\Gamma(C, \mathcal{L})) = \deg(D)$ . This is equivalent to the condition in the statement of the theorem by simple induction.  $\blacksquare$

Using theorem 1.2, we can reduce the study of the sequence  $\phi_D$  to the case where  $D$  is just a point, by replacing  $\mathcal{L}$  by  $\mathcal{L}(E)$ .

## 2 Globalizing the sequence $\phi_D$

We begin with the following sequence on  $C \times C$ :

$$0 \longrightarrow \mathcal{O}_{C \times C} \longrightarrow \mathcal{O}_{C \times C}(\Delta) \longrightarrow \mathcal{O}_{C \times C}(\Delta)|_\Delta \longrightarrow 0 \quad (1)$$

We claim that this is the globalization of the sequence,

$$0 \longrightarrow \mathcal{O}_C \longrightarrow \mathcal{O}_C(D) \longrightarrow \mathcal{O}_C(D)|_D \longrightarrow 0 \quad (2)$$

where  $D$  is a point of  $C$ . By that we mean that:

**Theorem 2.1.** If  $f_D : C \rightarrow C \times C$  is the map given by  $\pi_1 \circ f_D = id_C$  and  $\pi_2 \circ f_D$  is the constant map to the point  $D$ , the pullback of the sequence 1 by  $f_D$  gives us the sequence 2.

*Proof.* On the open set  $U = \Delta^c$ , the sequence 1 is just,

$$0 \longrightarrow \mathcal{O}_U \longrightarrow \mathcal{O}_U \longrightarrow 0 \longrightarrow 0$$

And thus the pullback by  $f_D$  does in fact give the sequence (2) restricted to  $f_D^{-1}(U) = C - D$ . Thus we only need to check the pullback sequence on a neighbourhood of  $D$ .

Take an affine neighbourhood  $W = \text{Spec}(A)$  of  $D$  in  $C$ . Then,  $V = W \times W = \text{Spec}(B)$  is an affine neighbourhood of  $f_D(D) = (D, D)$ , where  $B = A \otimes_k A$ . Let  $\mathfrak{p}_\Delta$  and  $\mathfrak{p}_D$  be the prime ideals of  $B$  associated to the irreducible subsets  $V \cap \Delta$  and  $V \cap C \times D$ , respectively. Then,  $\mathfrak{p}_\Delta$  is the kernel of the diagonal map  $B = A \otimes A \rightarrow A$ , and  $\mathfrak{p}_D$  is the kernel of the map given by  $B = A \otimes A \rightarrow A \otimes A/m_D \cong A$ , where  $m_D$  is the maximal ideal of  $A$  associated with the point  $D$ . Meanwhile, let  $m_{(D,D)}$  be the maximal ideal of  $B$  associated to the point  $(D, D)$ . We know that  $\mathfrak{p}_\Delta + \mathfrak{p}_D \subseteq m_{(D,D)}$ . Then we have the diagram,

$$\begin{array}{ccccc} B/\mathfrak{p}_\Delta & & & & \\ \uparrow & \searrow & & & \\ B & \longrightarrow & B/\mathfrak{p}_\Delta \otimes_B B/\mathfrak{p}_D & \xrightarrow{h} & B/(\mathfrak{p}_\Delta + \mathfrak{p}_D) \\ \downarrow & & & \nearrow & \\ B/\mathfrak{p}_D & & & & \end{array}$$

where the map  $h$  is the map induced by the universal property of the tensor product. Clearly,  $h$  is surjective. But  $B/\mathfrak{p}_\Delta \otimes_B B/\mathfrak{p}_D \cong k$ , therefore  $B/(\mathfrak{p}_\Delta + \mathfrak{p}_D) \cong k$ , and hence  $\mathfrak{p}_\Delta + \mathfrak{p}_D = m_{(D,D)}$ . This continues to hold after localizing at the  $m_{(D,D)}$ , and hence we get,  $\mathfrak{p}_{(D,D),\Delta} + \mathfrak{p}_{(D,D),C \times D} = m_{C \times C, (D,D)}$ , where  $\mathfrak{p}_{(D,D),\Delta} = \mathfrak{p}_\Delta \mathcal{O}_{C \times C, (D,D)}$  and  $\mathfrak{p}_{(D,D),C \times D} = \mathfrak{p}_D \mathcal{O}_{C \times C, (D,D)}$ , are the prime ideals of the local ring  $\mathcal{O}_{C \times C, (D,D)}$  of  $C \times C$  at  $(D, D)$  corresponding to  $\Delta$  and  $C \times D$ , respectively.

$C \times C$  is smooth, and hence  $\mathcal{O}_{C \times C, (D,D)}$  is a UFD. In particular  $\mathfrak{p}_{(D,D),\Delta} = (t_\Delta)$ . Now, the map induced by  $f_D$  on the local rings,  $f_D^* : \mathcal{O}_{C \times C, (D,D)} \rightarrow \mathcal{O}_{C,D}$ , is just the quotient by  $\mathfrak{p}_{(D,D),C \times D}$  map. Therefore, image of  $\mathfrak{p}_{(D,D),\Delta}$  and in particular  $t_D = f_D^*(t_\Delta)$  generate the maximal ideal  $m_{C,D}$  of  $\mathcal{O}_{C,D}$ .

The rational function  $t_\Delta$  is such that at all the divisors through  $(D, D)$ , it has precisely just a simple zero across  $\Delta$ . Removing the divisors other than  $\Delta$  from the support of  $\text{div}(t_\Delta)$ , we get an open set  $V_1$  containing  $(D, D)$ , such that  $\text{div}(f)|_{V_1} = \Delta$ . Considering  $t_D = f_D^*(t_\Delta)$  to be a regular function on  $U_1 = f_D^{-1}(V_1)$ , we find that  $t_D$  has a simple zero at  $D$  (since it generates  $m_{C,D}$ ). Removing the points of the support of  $\text{div}(t_D)$  other than  $D$  from  $U_1$ , and their images from  $V_1$ , we get open neighbourhood  $V_2$  of  $(D, D)$ , such that  $\text{div}(t_\Delta)|_{V_2} = \Delta$ , and  $\text{div}(t_D)|_{U_2} = D$ , where  $U_2 = f_D^{-1}(V_2)$ , i.e.  $\Delta$  and  $D$  are principal divisors on  $V_2$  and  $U_2$  respectively.

Coming back to our sequences, we see that when restricted to  $V_2$ , the sequence 1 becomes:

$$0 \longrightarrow \mathcal{O}_{V_2} \longrightarrow t_\Delta^{-1} \mathcal{O}_{V_2} \longrightarrow t_\Delta^{-1} \mathcal{O}_{V_2} / \mathcal{O}_{V_2} \longrightarrow 0$$

which when pulled back via  $f_D$ , becomes:

$$0 \longrightarrow \mathcal{O}_{U_2} \longrightarrow t_D^{-1}\mathcal{O}_{U_2} \longrightarrow t_D^{-1}\mathcal{O}_{U_2}/\mathcal{O}_{U_2} \longrightarrow 0$$

which is the same as the sequence 2 restricted to  $U_2$ . Since  $U$  and  $U_2$  cover  $C$ , we are done.  $\blacksquare$

Tensoring the sequence 1 by  $\pi_1^*\mathcal{L}$ , we get:

$$0 \longrightarrow \pi_1^*\mathcal{L} \longrightarrow \pi_1^*\mathcal{L}(\Delta) \longrightarrow \pi_1^*\mathcal{L} \otimes \mathcal{O}_{C \times C}(\Delta)|_\Delta \longrightarrow 0$$

whose pullback via  $f_D$  is the sequence  $\Phi_D$  of section 1. Using the adjunction of pullback and pushforward, we get the vertical maps of the diagram:

$$\begin{array}{ccccccc} 0 & \longrightarrow & \pi_1^*\mathcal{L} & \longrightarrow & \pi_1^*\mathcal{L}(\Delta) & \longrightarrow & \pi_1^*\mathcal{L} \otimes \mathcal{O}_{C \times C}(\Delta)|_\Delta \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & (f_D)_*\mathcal{L} & \longrightarrow & (f_D)_*\mathcal{L}(D) & \longrightarrow & (f_D)_*\mathcal{L}(D)|_D \longrightarrow 0 \end{array} \quad (3)$$

where the bottom row is exact since  $f_D$  is affine (it is a closed immersion), which makes  $(f_D)_*$  exact. We now want to take the direct image of this diagram via  $\pi_2$ , but before that, a lemma for computation of higher direct images.

**Lemma 2.2.** *Let  $f : X \rightarrow Y$  be a separated morphism of finite type of noetherian schemes,  $u : Y' \rightarrow Y$  be a flat morphism of noetherian schemes and  $g : X' = X \times_Y Y' \rightarrow Y'$  be the base extension of  $f$  via  $u$ . Let  $\mathcal{F}$  be a quasi-coherent sheaf on  $X$ .*

$$\begin{array}{ccc} X' & \xrightarrow{v} & X \\ \downarrow g & & \downarrow f \\ Y' & \xrightarrow{u} & Y \end{array}$$

Then for  $i \geq 0$  there are natural isomorphisms

$$u^*R^i f_* (\mathcal{F}) \cong R^i g_* (v^* \mathcal{F})$$

*Proof.* [Har77, Theorem III.9.3]  $\blacksquare$

Using the lemma for  $f = u =$  the structure morphism  $C \rightarrow \text{Spec } k$ , we get,

$$R^i \pi_{2*}(\pi_1 \mathcal{F}) \cong H^i(C, \mathcal{F}) \otimes_k \mathcal{O}_C$$

Also note that  $\pi_2 \circ f_D$  is the constant map to the point  $D$ . Hence,  $R^i(\pi_2 \circ f_D)_*(\mathcal{F})$  is the skyscraper sheaf associated to the module  $H^i(C, \mathcal{F})$  supported at  $D$ . Also, we have natural isomorphisms:

$$\begin{aligned} \pi_{2*}(\pi_1^*\mathcal{L} \otimes \mathcal{O}_{C \times C}(\Delta)|_\Delta) &= \pi_{2*}(\pi_1^*\mathcal{L} \otimes \Delta_*\Delta^*(\mathcal{O}_{C \times C}(\Delta)|_\Delta)) \\ &= \pi_{2*}\Delta_*(\Delta^*(\pi_1^*\mathcal{L}) \otimes \Delta^*(\mathcal{O}_{C \times C}(\Delta)|_\Delta)) \\ &= \mathcal{L} \otimes \Delta^*(\mathcal{O}_{C \times C}(\Delta)|_\Delta) \\ &= \mathcal{L} \otimes \Omega_C^{\otimes -1} \end{aligned}$$

Finally we can take the pushforward of diagram 3 via  $\pi_2$ :

$$\begin{array}{ccccccc}
(\phi) & 0 & \longrightarrow & \Gamma(C, \mathcal{L}) \otimes_k \mathcal{O}_C & \longrightarrow & \pi_{2*}(\pi_1^* \mathcal{L}) & \xrightarrow{p} & \mathcal{L} \otimes \Omega_C^{\otimes -1} & \xrightarrow{\delta} & H^1(C, \mathcal{L}) \otimes_k \mathcal{O}_C \\
& & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
& 0 & \longrightarrow & i_{D*} \Gamma(C, \mathcal{L}) & \longrightarrow & i_{D*} \Gamma(C, \mathcal{L}(D)) & \xrightarrow{p_D} & i_{D*} \Gamma(C, \mathcal{L}(D)|_D) & \xrightarrow{\delta_D} & i_{D*} H^1(C, \mathcal{L})
\end{array}$$

Here,  $i_D$  is the inclusion of the point  $D$  into  $C$ . The sequence  $\phi$  is the globalization of the sequence  $\phi_D$ ; Pulling back the diagram via  $i_D$  gives us the sequence  $\phi_D$  in bottom rows, but all the vertical maps after pulling back are isomorphisms, so we get that  $\phi_D = i_D^*(\phi)$ . In particular,  $\delta_D = 0$  iff  $p_D$  is surjective, which is true iff  $p$  is surjective on the stalk at  $D$  (by Nakayama's lemma) which is true iff  $\delta$  is zero on the stalk at  $D$ . Therefore we have:

**Theorem 2.3.**  $\delta$  vanishes at  $D$  iff  $\delta_D$  is 0.

### 3 Finite dimensionality of the cohomology groups

**Lemma 3.1.** For any non-zero effective divisor  $E$  on  $C$ ,  $H^1(C, \Omega_C(E)) = 0$ .

*Proof.* Set  $\mathcal{L} = \Omega_C(E)$  for some non-zero effective divisor  $E$  in the sequences above.  $\mathcal{L} \otimes \Omega_C^{\otimes -1} = \mathcal{O}_C(E)$ .  $H^1(C, \Omega_C(E)) \otimes \mathcal{O}_C \cong \mathcal{O}_C^{\oplus r}$ , where  $r = \dim_k H^1(C, \Omega_C(E))$ . If  $\delta$  is nonzero,  $r > 0$ , and hence by composing  $\delta$  with one of the projections, we will get a nonzero map  $\mathcal{O}_C(E) \rightarrow \mathcal{O}_C$ , which corresponds to a nonzero global section of  $\mathcal{O}_C(-E)$ , which do not exist (There is no non-zero regular function which vanishes only along  $E$ ). Therefore  $\delta$  must be zero, which means  $\delta_D$  is zero for all  $D$ . But that gives us  $\dim_k \Gamma(C, \Omega_C(E+D))/\Gamma(C, \Omega_C(E)) = 1$ , for all non-zero effective divisors  $E$ , and all points  $D$  of  $C$ . Thus by theorem 1.2, we are done.  $\blacksquare$

**Theorem 3.2.** Let  $\mathcal{L}$  be an invertible sheaf on  $C$ . Then  $\Gamma(C, \mathcal{L})$  and  $H^1(C, \mathcal{L})$  are finite dimensional. Moreover, if we set the Euler characteristic of  $\mathcal{L}$  to be  $\chi(\mathcal{L}) = \dim_k \Gamma(C, \mathcal{L}) - \dim_k H^1(C, \mathcal{L})$ , then

$$\chi(\mathcal{L}) = 1 - g + \deg(\mathcal{L})$$

where  $g = \dim_k H^1(C, \mathcal{O}_C)$  is the genus of  $C$ , and  $\deg(\mathcal{L}) = \deg(\text{div}(s))$  for any rational section  $s$  of  $\mathcal{L}$ .

*Proof.* We know that for any rational section  $s$  of  $\mathcal{L}$ , the map  $\mathcal{O}_C(D) \rightarrow \mathcal{L}$ , given by  $f \mapsto f * s$  is an isomorphism.

First we show the finite dimensionality of  $\Gamma(C, \mathcal{L})$ . If  $\Gamma(C, \mathcal{L}) \neq 0$ ,  $\mathcal{L}$  has a global section  $s$ .  $D = \text{div}(s)$  will be an effective divisor, since  $s$  is globally defined, and hence  $\mathcal{L} \cong \mathcal{O}_C(D)$  for an effective divisor  $D$ . But by the sequence  $\phi_D$ , we know that  $\dim_k \Gamma(C, \mathcal{O}_C(D))/\Gamma(C, \mathcal{O}_C) \leq \deg(D)$ . But  $\dim_k \Gamma(C, \mathcal{O}_C) = 1$ , which gives us the finite dimensionality of  $\Gamma(C, \mathcal{O}_C(D)) = \Gamma(C, \mathcal{L})$ . To see the finite dimensionality of

$H^1(C, \mathcal{L})$ , observe that for any effective divisor  $E$  and invertible sheaf  $\mathcal{L}$ , the sequence  $\phi_D$  tells us that  $\mathcal{L}$  has finite dimensional cohomology iff  $\mathcal{L}(E)$  does. Furthermore, if the cohomologies are finite dimensional, we have,

$$\begin{aligned} \dim_k \Gamma(C, \mathcal{L}(E)) - \dim_k \Gamma(C, \mathcal{L}) + \dim_k H^1(C, \mathcal{L}) - \dim_k H^1(C, \mathcal{L}(E)) \\ = \dim_k \Gamma(C, \mathcal{L}(E)|_E) = \deg(E) \end{aligned}$$

that is,  $\chi(\mathcal{L}(E)) = \chi(\mathcal{L}) + \deg(E)$ . Writing any divisor  $D$  as  $D_1 - D_2$ , where  $D_1$  and  $D_2$  are effective, and using the result for effective divisors twice, we get the same result for all divisors.// By the above discussion and the previous lemma, we know that there exists an invertible sheaf  $\mathcal{M}$  with finite dimensional cohomology groups. Now,  $\mathcal{M} \cong \mathcal{O}(D_1)$  for a divisor  $D_1$  of some rational section of  $\mathcal{M}$ . Thus  $\mathcal{O}_C$  has finite dimensional cohomology groups. But as mentioned in the beginning of the proof, any invertible sheaf  $\mathcal{L} \cong \mathcal{O}_C(D)$ , which gives us that  $\mathcal{L}$  has finite dimensional cohomologies and that  $\chi(\mathcal{L}) = \chi(\mathcal{O}_C(D)) = \chi(\mathcal{O}_C) + \deg(D) = 1 - g + \deg(\mathcal{L})$ . ■

## 4 The canonical class

First, a result on vanishing of cohomologies for sheafs with high degree.

**Lemma 4.1.** *Let  $\mathcal{L}$  be an invertible sheaf on  $C$  with degree strictly larger than  $\deg(\Omega_C)$ . Then,  $H^1(C, \mathcal{L}) = 0$ .*

*Proof.* This is essentially a strengthening of lemma 3.1. The same proof goes through, except in this case there is no morphism from  $\mathcal{N}(E) = \mathcal{L}(E) \otimes \Omega_C^{\otimes -1} \rightarrow \mathcal{O}_C$  for an effective divisor  $E$ , because  $\mathcal{N}(E)$  has positive degree, hence a morphism  $\mathcal{N} \rightarrow \mathcal{O}_C$  represents a regular section of  $\mathcal{N}^{\otimes -1}$ , which has negative degree. But an invertible sheaf of negative degree is isomorphic to  $\mathcal{O}_C(D)$  for a divisor  $D$  of negative degree, which doesn't have any regular sections. ■

**Theorem 4.2.**  *$H^1(C, \Omega_C)$  is one dimensional. In fact there exists a canonical isomorphism from  $k \cong H^1(C, \Omega_C)$ .*

*Proof.* By theorem 3.2, we know that for invertible sheaves  $\mathcal{L}$  of low enough degree,  $H^1(C, \mathcal{L})$  is non-trivial. Meanwhile, by the previous lemma, we know that  $\mathcal{L}$  with sufficiently large degree has trivial cohomology.

Let  $\mathcal{M}$  be an invertible sheaf of maximal possible degree with  $H^1(C, \mathcal{M}) \neq 0$ . Then for any point  $D$ ,  $\Gamma(C, \mathcal{M}(D)) = 0$ , that is for  $\mathcal{L} = \mathcal{M}$ ,  $\delta_D$  is surjective for all points  $D$ . This means that  $0 < \dim_k H^1(C, \mathcal{M}) \leq \dim_k \Gamma(C, \mathcal{M}(D)|_D) = 1$ , i.e.  $\dim_k H^1(C, \mathcal{M}) = 1$ . Since  $\delta_D$  is surjective for all  $D$ ,  $\delta$  is surjective on the stalks at all points  $D$ , which means  $\delta$  is an isomorphism, because the stalks at any point  $D$  of  $\mathcal{M} \otimes \Omega_C^{\otimes -1}$  and  $H^1(C, \mathcal{M}) \otimes_k \mathcal{O}_C \simeq \mathcal{O}_C$  are both free modules of rank 1 over the local ring at  $D$ , and any surjective map between such modules is an isomorphism. Thus, we get  $\mathcal{M} \otimes \Omega_C^{\otimes -1} \simeq \mathcal{O}_C$ , or equivalently,  $\mathcal{M} \simeq \Omega_C$

To get the canonical map, observe that  $\Omega_C$  itself satisfies the properties required of  $\mathcal{M}$ , in particular for  $\mathcal{L} = \Omega_C$  as well, the map  $\delta$  will be an isomorphism. But

$$\delta : \mathcal{O}_C \cong \Omega_C \otimes \Omega_C^{-1} \rightarrow H^1(C, \Omega_C)$$

Taking global sections, we get the canonical isomorphism. ■

## 5 Serre duality and the Riemann-Roch theorem

Given a section  $s \in \Gamma(C, \Omega_C \otimes \mathcal{L}^{\otimes -1})$ , we get a map  $s \otimes 1 : \mathcal{L} \rightarrow \Omega_C \otimes \mathcal{L}^{\otimes -1} \otimes \mathcal{L} \cong \Omega_C$ , which induces a map from  $H^1(C, \mathcal{L}) \rightarrow H^1(C, \Omega_C)$ . In particular we get a pairing

$$\Gamma(C, \Omega_C \otimes \mathcal{L}^{\otimes -1}) \times H^1(C, \mathcal{L}) \rightarrow H^1(C, \Omega_C) \cong k$$

which we denote as the cup product  $\cup$ .

### Theorem 5.1. Serre duality

$$\cup : \Gamma(C, \Omega_C \otimes \mathcal{L}^{\otimes -1}) \times H^1(C, \mathcal{L}) \rightarrow H^1(C, \Omega_C) \cong k$$

is a perfect pairing.

*Proof.* We are done if we show that the map  $f : \Gamma(C, \Omega_C \otimes \mathcal{L}^{\otimes -1}) \rightarrow H^1(C, \mathcal{L})^\vee$ , given by  $s \mapsto c \circ (\cup s)$ , is an isomorphism, where  $c : H^1(C, \Omega_C) \rightarrow k$  is the canonical isomorphism.

Observe that the process of constructing the sequence  $\phi$  and in particular the map  $\delta$  is functorial in  $\mathcal{L}$ . Pick  $s \in \Gamma(C, \Omega_C \otimes \mathcal{L}^{\otimes -1})$ . We have the commutative diagram:

$$\begin{array}{ccc} \delta(\mathcal{L}) : & \mathcal{L} \otimes \Omega_C^{\otimes -1} & \longrightarrow & H^1(C, \mathcal{L}) \otimes_k \mathcal{O}_C \\ & \downarrow \times s & & \downarrow \cup s \otimes 1 \\ \delta(\Omega_C) : & \mathcal{O}_C & \longrightarrow & H^1(C, \Omega_C) \otimes_k \mathcal{O}_C \end{array}$$

Define a map  $\eta : H^1(C, \mathcal{L})^\vee \rightarrow \Gamma(C, \Omega_C \otimes \mathcal{L}^{\otimes -1})$ , given by  $t \mapsto (t \otimes 1) \circ \delta(\mathcal{L})$ . Then from the diagram above, it can be seen that,  $s = \delta(\Omega_C)^{-1} \circ (\cup s \otimes 1) \circ \delta(\mathcal{L}) = (c \otimes 1) \circ ((\cup s) \otimes 1) \circ \delta(\mathcal{L}) = ((c \circ (\cup s)) \otimes 1) \circ \delta(\mathcal{L}) = \eta \circ f(s)$ . In particular,  $f$  is injective,  $\eta$  is surjective, and  $\eta \circ f = \text{id}$ . If we show  $\eta$  is also injective, then  $\eta = f^{-1}$  and we will be done.

Let  $t \in \ker(\eta)$ . Then  $(t \otimes 1) \circ \delta(\mathcal{L}) = 0$ . For each point  $D$  in  $C$ , pulling back via  $i_D : \text{Spec}(k(D)) \rightarrow C$  gives us  $t \circ \delta_D = 0$ . Pick a large  $n$ , specifically satisfying  $n + \deg(\mathcal{L}) > \deg(\Omega_C)$ . Pick  $n$  distinct points  $D_1, D_2, \dots, D_n$  on  $C$ . For each  $1 \leq r \leq n$ ,  $t \circ \delta_{D_r} = 0$ , which means that  $t \circ \delta_D = 0$  for  $D = \sum_{r=1}^n D_r$ . Since  $\deg(\mathcal{L}(D)) = \deg(\mathcal{L}) + \deg(D) > \deg(\Omega_C)$ , by lemma 4.1,  $H^1(C, \mathcal{L}(D)) = 0$ . Looking at sequence  $\phi_D$ , this means that  $\delta_D$  is surjective, and hence  $t = 0$ . This shows that  $\eta$  is injective and completes the proof. ■

**Theorem 5.2. Riemann-Roch theorem**

For any invertible sheaf  $\mathcal{L}$  on  $C$ ,

$$\dim_k \Gamma(C, \mathcal{L}) - \dim_k \Gamma(C, \Omega_C \otimes \mathcal{L}^{\otimes -1}) = 1 - g + \deg(\mathcal{L})$$

*Proof.* By Serre duality,  $\dim_k \Gamma(C, \Omega_C \otimes \mathcal{L}^{\otimes -1}) = \dim_k H^1(C, \mathcal{L})$ . Therefore we have,

$$\begin{aligned} \dim_k \Gamma(C, \mathcal{L}) - \dim_k \Gamma(C, \Omega_C \otimes \mathcal{L}^{\otimes -1}) &= \dim_k \Gamma(C, \mathcal{L}) - \dim_k H^1(C, \mathcal{L}) \\ &= \chi(\mathcal{L}) \\ &= 1 - g + \deg(\mathcal{L}) \text{ by theorem 3.2} \end{aligned}$$

■

**Corollary 5.2.1.**

1.  $\dim_k \Gamma(C, \Omega_C) = g$
2.  $\deg(\Omega_C) = 2g - 2$

*Proof.*

1. Apply Riemann-Roch theorem for  $\mathcal{L} = \mathcal{O}_C$ . Since we know  $\dim_k \Gamma(C, \mathcal{O}_C) = 1$ ,  $\deg(\mathcal{O}_C) = 0$  and  $\Omega_C \otimes \mathcal{O}_C^{\otimes -1} = \Omega_C$ , we immediately get  $\dim_k \Gamma(C, \Omega_C) = g$ .
2. Apply Riemann-Roch theorem for  $\mathcal{L} = \Omega_C$ . Since we know  $\dim_k \Gamma(C, \Omega_C) = g$ ,  $\Omega_C \otimes \Omega_C^{\otimes -1} = \mathcal{O}_C$  and  $\dim_k \Gamma(C, \mathcal{O}_C) = 1$ , we immediately get  $\deg(\Omega_C) = 2g - 2$ .

■

**References**

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